ACTIONABLE IMPACT MANAGEMENT

AIM VOLUME ONE | GROUNDWORK | ACTIVITY GUIDE

A FRAMEWORK FOR...
SOCIAL IMPACT MEASUREMENT, ASSESSMENT, & DEMONSTRATION

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FIRST OF A 4-PART SERIES OF EBOOKS,
ACTIONABLE IMPACT MANAGEMENT (AIM) VOLUME ONE: GROUNDWORK
IS DESIGNED TO HELP YOUR ORGANIZATION MAP OUT THE FOUNDATIONAL
ELEMENTS OF YOUR IMPACT MEASUREMENT STRATEGY

2017 RELEASE
SOPACT INC. AND THE UNIVERSITY OF MELBOURNE'S
ASIA PACIFIC SOCIAL IMPACT CENTRE
SoPact
Silicon Valley Social Enterprise

SoPact is a social enterprise in the San Francisco Bay area that strives to bring technology expertise to the social sector. SoPact brought its knowledge of accessible technology tools that support operations processes around impact data. Contributing team members include Rachel Dodd, Lorena Rodríguez, and Unmesh Sheth.

Asia Pacific Social Impact Centre
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The Asia Pacific Social Impact Centre (APSIC) is the University of Melbourne’s hub for education, research, and action in the field of social impact. APSIC researchers Dr. Krzysztof Dembek and Dr. Jodi York co-authored this report and contributed their expertise of impact measurement process and best practice to this eBook.
ACTIONABLE IMPACT MANAGEMENT
AN INTRODUCTION TO THE FRAMEWORK

What it is
Actionable Impact Management (AIM) is an open source framework for defining an internal organizational method for establishing an enduring data-oriented/outcome-oriented impact measurement process. AIM's objective is to outline a roadmap for your organization to follow in the hopes to reaching a point where you are able to more accurately and effectively communicate your impact to multiple stakeholders.

By Impact Management, we refer to an organization's ability to define an impact framework that is practical and enduring and translate insights through effective communication on impact via your website, reports, content generation, etc.

This guide is designed for functionality and accessibility of content, complete with instruction and activities to work through the process. The thoughtful introspection required by this Volume makes it the most time intensive of the AIM series. Groundwork lays the infrastructure for all subsequent impact measurement.

What it isn't
Actionable Impact Management is not intended for grant management or the monitoring of activities. This guide is not a deep-dive into the theoretical considerations of the processes but will reference additional resources for those that want to gain more substantial insights into any of the topic areas. Furthermore, AIM is not intended to be a one-size-fits-all practice.
ACTIONABLE IMPACT MANAGEMENT

FRAMEWORK STEPS

VOL. 1  GROUNDWORK
VISION, MISSION, & GOALS
PROGRAM STRUCTURE
THEORY OF CHANGE
MANAGING CHANGE

VOL. 2  METRICS
MEASURE WHAT MATTERS
STANDARD METRICS ALIGNMENT
METRICS DATA PIPELINE + TOOLS

VOL. 3  DATA
DATA MANAGEMENT
DATA ANALYSIS
STRATEGIC PLANNING

VOL. 4  IMPACT REPORT
REPORTING TYPES
BEST PRACTICES
VOL 1 | GROUNDWORK
IN THIS VOLUME

VISION, MISSION, & GOALS
OVERVIEW
VISION OVERVIEW + ACTIVITY
MISSION OVERVIEW + ACTIVITY
GOALS OVERVIEW + ACTIVITY

PROGRAM STRUCTURE
OVERVIEW
ACTIVITY

THEORY OF CHANGE
OVERVIEW
ACTIVITY
TEMPLATE

MANAGING CHANGE
OVERVIEW

GLOSSARY
We have entered a new era of impact reporting. One in which social interventions are held to a new standard - a higher standard of impact assessment. Stay ahead of this wave by utilizing existing technology to equip you with the tools you need to collect, assess, and demonstrate your impact. How might you do that? Well, we'll get to that in Volumes 2-4. This first Volume, Groundwork, is about deciding what data makes the most sense for you to collect, assess, and demonstrate.

Groundwork is introspective. It requires thoughtful work. It is the most time-consuming of the AIM volumes, but luckily it's also the most fun.

We hope you enjoy it, but mostly, we hope it helps to lay a path for your organization to enter this new era as a role model for others. Impact measurement is a deeply personal exercise. This makes Groundwork all the more important.

If you have questions, concerns, insights as you work through the activities in this guide, we can stay in touch. Simply send your messages here.
VISION, MISSION, & GOALS

Vision, Mission, & Goals statements will serve as a guide in all future impact-defining activities. These are the statements you turn to when you get stuck. It is important to make sure that these Statements are still pertinent to your organization.

i. Vision Statement
Start with the Vision statement. Close your eyes and imagine that your organization is successful beyond your wildest dreams. What does that world look like? The Vision statement paints a picture of the ideal world your organization is working towards.

ii. Mission Statement
Once the Vision statement is defined, you are ready to tackle the Mission statement, which answers for how your organization is working towards that Vision. If the Vision statement paints a picture of the future your organization works toward, the Mission statement is a clear definition of your organization’s activities.

iii. Goals
Goals are a series of milestones that your organization is working towards through your Mission to achieve the Vision statement you’ve crafted.

REALITY CHECK: Not Just for your 'About Us' page.
These statements are not just nice looking statements on your website, but serious and useful tools. In fact, they will serve as reference points in subsequent steps.
VISION, MISSION, & GOALS: EXAMPLES

Here are examples of well-curated Vision, Mission, and Goals by the Grameen Bank. The Bank was founded in 1983 by Dr. Muhamad Yunus to address poverty by providing banking services and access to credit for the poor. The following Vision and Mission were taken from the 2014 Annual Report.

i. Vision Statement
   "Banking for the poor"
   More examples of Vision Statements

ii. Mission Statement
   "By providing comprehensive financial services, empowering the poor to realize their potential and break out of the vicious cycle of poverty."
   More examples of Mission Statements

The following list of goals are examples of what the Bank's goals could be, but are not official goals of the Bank.

iii. Goals
   Goal: Bank Head Quarters in an accessible neighborhood of Dhaka
   Goal: All Bengalis have the opportunity to access formal credit
   Goal: Develop a model that can be customized for new regions and countries
   Goal: Promote concept of Social Business worldwide
i. VISION STATEMENT

A Vision Statement is the cornerstone of an organization. It is the vision of the world that would exist if your organization’s efforts were 100 percent successful.

Your Vision Statement is a powerful tool to draw people in. It should...

- be short and concise - easy to understand
- be visual and paint a picture for the reader of your organization's idea of a perfect world
- be inspiring and positive - a rallying cry people want to be a part of
- be constant. The Vision Statement will be enduring - it is unlikely to change

A question test to see if you might want to revisit your Vision Statement:

Does your organization have a Vision Statement?

- Yes  
  - Does it paint a picture of your ideal world?
    - Yes  
      - Is it inspiring?
        - Yes  
          - Looks good! Check out Mission Statement
        - No  
          - Is it short and easy to understand?
            - Yes  
              - Looks good! Check out Mission Statement
            - No  
              - Check out the "Vision Statement Activity" page
    - No  
      - Check out the "Vision Statement Activity" page
  - No  
    - Check out the "Vision Statement Activity" page
i. VISION STATEMENT ACTIVITY

This activity maps out the four steps your organization can take to create an enduring Vision Statement. Each step is designed to take place on different days.

**STAFF**  
As many as possible.

**MATERIALS**  
Whiteboard, paper, post-it notes, etc.

**TIME**  
30 - 45 minutes per step

**OUTCOME**  
An inspiring Vision Statement that paints a picture of the idyllic world your organization seeks to create.

Step One | Brainstorm  
Be sure to involve key stakeholders. What is the vision for the organization? Be sure the Statements you come up with follow the guidelines on the previous page.

Step Two | Pick the Top 3  
Aggregate the suggested Vision statements from the Brainstorming session and narrow them down to three.

Step Three | Test  
Ask people you know to read each option then ask, "What does it communicate to you?" "Do you feel like it's something you want to be a part of?" "Does it trigger any negative reactions?"

Step Four | Final Selection  
Review findings and choose a Vision Statement.
ii. MISSION STATEMENT

A Mission statement is the 'how.' How your organization contributes to achieving the VISION you just outlined - the industry in which you work (for example, healthcare services). If your industry of work changes, then your mission will need to be adapted as well. Like your vision statement, it should be clear, concise, and to the point.

Your Mission Statement identifies the industry in which you work. It should...

- be short and concise - easy to understand
- clearly define your organization's industry (path/approach)
- be consistent with the Vision statement

A question test to see if you might want to revisit your Mission Statement:

- Does your organization have a Mission Statement?
  - Yes
  - No

  - Does it clarify your industry area?
    - Yes
    - No

  - Is it tangible and realistic?
    - Yes
    - No

  - Is it short and easy to understand?
    - Yes
    - No

- Check out the "Mission Statement Activity" page
- Looks good! Check out the Goals
ii. MISSION STATEMENT ACTIVITY

This activity maps out the four steps your organization can take to create a clear and unifying Mission Statement. Each step is designed to take place on different days.

**STAFF**  
Staff representing various departments including strategy and program implementation.

**MATERIALS**  
Whiteboard, paper, post-it notes, etc.

**TIME**  
30 - 45 minutes per step

**OUTCOME**  
A focused Mission that is representative of the industry in which your organization's activities operate within.

---

**Step One | Scope**  
Identify the scope of your organization's industry that you work in (i.e. banking, education, disaster relief, etc.). Next, identify who/what your organization is serving (i.e. students, environment, immigrants, faith communities, etc.). This should include the geographical location of your beneficiaries/operations.

**Step Two | Brainstorm**  
Once the scope is clearly defined, begin to shape a clear and concise statement. Come up with three potential variations.

**Step Three | Test**  
Take the three options and run it by a representative sample, if not the entire staff. Together, select the Mission Statement.

**Step Four | Final Selection**  
Review findings and choose a Mission Statement.
iii. GOALS

When goals are clear and easy to understand, they are powerful tools that align every area and every individual within your organization towards a common Vision. When people have the big picture of what is expected to happen at a specific point in time, they are able to visualize how their own work enables the organization to achieve positive impact.

Your Goals unite the efforts of your organization. They should be S.M.A.R.T.:

- Specific: define What, Why, and How
- Measurable: with a way to know if the goal has been achieved or not
- Achievable: though challenging, goals should be realistic
- Relevant: pertinent to your Vision and Mission
- Time-Bound: a sequence of chronological achievements, Goals are linked to specific timelines

METAPHORICAL MAP OF VISION, MISSION, & GOALS

Mission

You Are Here

1st Goal to reach

2nd Goal to reach

3rd Goal to reach

Vision

X

ACTIONABLE IMPACT MANAGEMENT | VOLUME ONE
12
iii. GOALS
ACTIVITY

This activity maps out the four steps your organization can take to create Goals that are easy to understand.

STAFF
- Representatives from your organization’s various departments (i.e. leadership, programs, etc).

MATERIALS
- Whiteboard, paper, post-it notes, etc.

TIME
- 30 - 45 minutes per Step

OUTCOME
- A focused Mission that is representative of the industry in which your organization operates.

Step One | Strategy
Agree upon the current strategy. How are you going to go about your Mission in order to achieve the Vision?

Step Two | Milestones
Lay out a roadmap for your strategy. What are some of the milestones that your organization can work towards together?

Step Three | Clean-Up
Clean-up your milestones so that they are clear and concise. Aim for three goals, but depending on the complexity of your programs, you may have more.
PROGRAM STRUCTURE

The 'Program Structure' refers to your organization's internal hierarchy of activities and impact efforts. The two-layer structure includes your programs (or initiatives) in layer one and the associated goals of those programs in the second layer.

Programs
Programs refer to your organization's focus areas.

If your organization is broad in scope, your 'Programs' might be "Higher Education," "Health and Wellness," "Financial Inclusion," "Organizational Capacity Building," etc.

If your organization has a narrow scope, maybe you work with at-risk youth for college and career readiness, then break it down a step further. What are the different ways you achieve this? Maybe your programs include "Testing," and "Internships," and so on.

Outcomes
Outcomes are the intended results for each program.

REAL TALK: Not as Easy as it Sounds.
This concept sounds simple. Do not be fooled. Many organizations have gotten stumped by this section. If it ends up being you, don't be worried. Glance back at your Vision, Mission, and Goals for inspiration if you do get stuck. For example, a community organization that funds local nonprofits across a wide spectrum of issue areas kept trying to fit its programs in categories like "Education," but kept ending up with way too many programs. They revisited their Mission statement and realized the important factor of their work is in capacity building of their community nonprofits. Problem solved.
PROGRAM STRUCTURE ACTIVITY

This activity maps out your organization's program structure. All you need to do is grab some post-it notes or some paper, and follow the structure below.

<table>
<thead>
<tr>
<th>STAFF</th>
<th>Staff who are well acquainted with programs.</th>
</tr>
</thead>
<tbody>
<tr>
<td>MATERIALS</td>
<td>Whiteboard, paper, post-it notes, etc.</td>
</tr>
<tr>
<td>TIME</td>
<td>30 - 45 minutes per step</td>
</tr>
<tr>
<td>OUTCOME</td>
<td>A focused Mission that is representative of the industry in which your organization operates.</td>
</tr>
</tbody>
</table>

Put your organization's name at the top, next list your programs and then finish it up with the outcomes of each program.
The Theory of Change (ToC) documents the change – or impact – that you are seeking for both accountability and internal organizational awareness of potential challenges. In the ToC, the primary challenges indicated are your underlying assumptions.

An assumption (no matter how strongly you believe it to be true) is, after all, an assumption. Woven into the fabric of every Theory of Change is at least one grand assumption. For example, that providing free shoes improves the overall quality of life. As we saw with Toms Shoes, an assumption that is not thoroughly explored can lead to negative consequences. In this case, in-kind donations replaced local markets and hurt the economy of the community served.

Essentially, it’s all about understanding the long-term goal, and mapping backward in a way that irons through details. By doing this, you might uncover gaps or potentially at-risk assumptions. You can learn more about Theory of Change here.
THEORY OF CHANGE

When researching Theory of Change you quickly realize how complex it is. Mapping out the long-term path you intend to take in such a way that you surface the hidden assumptions is no easy task. There are hundreds of different examples of ToCs to build from along with thoughtfully constructed templates.

Some organizations get creative in how they visualize their ToC. However your approach, it’s important to remember that the ToC serves an important purpose: to help keep you from making impactful mistakes. Additionally, the outcomes and outputs outlined in the document will be useful in 'Volume Two: Metrics.' To help keep it organized and clear so that you can refer later, we’ve developed a template that you will find in the activity portion of this section.

Each step builds on the last

You might have noticed, but each step is designed to inform the next. Skipping steps might lead to inconsistencies in the impact measurement strategy you are designing.
THEORY OF CHANGE
ACTIVITY

The following Theory of Change (ToC) template is designed to build upon the program structure you just created. The outcomes and outputs option will also be important later for identifying important metrics.

STAFF    Staff who are well acquainted with programs.
MATERIALS  Whiteboard, paper, post-it notes, etc.
TIME      30 - 45 minutes per step
OUTCOME   A focused ToC that shows the logical connection between your program inputs, activities, outputs, and outcomes your organization seeks to create.

1  Revisit your Program Structure. Order your programs from most to least important. You will begin with those that are at the top of the list.

2  Take the first program and fill it into box #1 in the following ToC template.

3  Choose one of the program's listed outcomes and fill it into box #2.

4  Fill out each of the boxes as they are numbered.

NOTE: The number of outputs varies depending on the program. Cover the most important outputs and try to not get too bogged down in details.
THEORY OF CHANGE ACTIVITY

Go ahead and familiarize yourself with the terms you will see in the Theory of Change template.

Programs
Programs refer to your organization's focus areas. You already outlined your programs in the previous section, Program Structure.

Outcomes
Outcomes are the intended humanitarian impact results for each program. You already outlined and organized your outcomes by their affiliated program in the previous section.

Outputs
Outputs outline who is affected and what is produced. Outputs are necessary for achieving a given outcome. Think of them sort of as indicators that the outcome is on track to being achieved.

Activities
What activities need to take place in order for each output to happen?

Inputs
Inputs refer to the resources or investments needed to ensure that the activities take place.
THEORY OF CHANGE TEMPLATE

This ToC template was created by SoPact and Asia Pacific Social Impact Center as a hybrid of other ToC templates both parties have used in the past. It is designed for simplicity while maintaining the complexity of the ToC purpose of outlining the empirical basis of any social intervention.

1a. Program

1b. Outcome

1c. Why is this Outcome important to your Vision?

1d. How does this Outcome fulfill your Mission?

2a. Output One

2b. Why will this output create the outcome (box 1b)?

2c. Activities

2d. Why are these activities needed for output one?

2e. Inputs

2f. Why are these inputs needed for the activities?
<table>
<thead>
<tr>
<th></th>
<th>3a. Output Two</th>
<th>3c. Activities</th>
<th>3e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>3b. Why will this output create the outcome (box 1b)?</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>3c. Activities</th>
<th>3e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>3d. Why are these activities needed for output two?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>4a. Output Three</th>
<th>4c. Activities</th>
<th>4e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>4b. Why will this output create the outcome (box 1b)?</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>4c. Activities</th>
<th>4e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>4d. Why are these activities needed for output three?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>5a. Output Four</th>
<th>5c. Activities</th>
<th>5e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>5b. Why will this output create the outcome (box 1b)?</td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>5c. Activities</th>
<th>5e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>5d. Why are these activities needed for output four?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>5c. Activities</th>
<th>5e. Inputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>5f. Why are these inputs needed for the activities?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
MANAGING CHANGE

The world we are moving into is one that is not accepting the notion that all good efforts lead to good impact. This new ideology challenges our organizations, efforts, and projects – but it will bring with it accountability, transparency, and reform. If we are prepared, we can be a part of the shaping of this new era. Before moving forward, be sure to gain the trust and backing of your stakeholders. Be sure that there is collective organizational intention to take the activities in this eBook seriously. You will need to work together – from the Executive Director to the program coordinators to the interns to the Board.

Fair warning, once you have completed your new impact measurement strategy and you are ready to implement it in your organization, you might find some resistance from your colleagues. This is natural; people have a natural fear of change. Breaking the status quo brings uncertainty and anxiety.

Before you embark on this journey to improve your impact measurement strategy, know that it will require change... in your organization’s mindset, in your operations, maybe even in your tools and technology. But if you succeed to engage your organization in this journey, the results will be amazing.

REAL TALK: Not as Easy as it Sounds.

If your organization is small, onboarding an intern to facilitate the project might be a good idea. It is important to know that this position is one of facilitation and your staff’s presence and time will still be required. If your organization is a bit larger, hiring a consultant can bring the credibility you need to face the perspectives around the table. Your staff’s presence will still be required, but the process will be tracked, well-documented, and well-communicated to all involved.
MANAGING CHANGE

Here are some tips to achieve the level of involvement that you require in order for your organization to succeed.

INVOLVE KEY STAKEHOLDERS
Invite key stakeholders to be part of the preparation, especially those affected by or driving the changes, i.e. leadership, program managers, etc.

COMMUNICATE INTENT
Communicate your intent to implement or improve the impact measurement strategy. In the beginning, this might be done through information sessions open to anyone interested in learning more about the new impact measurement process. Remember that people are motivated by the outcome. Be sure to expose the need for change to the whole organization and clearly describe how the change will benefit each area of the organization.

BREAK IT DOWN
Don’t try to boil the ocean – start small. A small change is easier to control and observe the results. As you go along, small wins will motivate staff. In this case, you might go by Volumes: The Actionable Impact Management framework is already broken into 4 steps (Groundwork; Metrics; Data; Impact Report) that might serve as a reasonable guideline for your organization’s bite-sized chunks.

IDENTIFY KEY AGENTS OF CHANGE
Identify the early adopters within the different departments – the individuals that seem most excited about the potential change and who want to be part of it. Next, provide those individuals with training so that they are well-versed in the objectives and goals along with the methodologies and tools that will be used to apply them. Throughout the process, these are the individuals you will want to keep informed and updated. They will help keep everyone else updated as well. Be sure to listen to their feedback. All this feedback will help you make small adjustments to make the change smoother.

REINFORCEMENT
Recognize those making the effort to adopt the new process. Show how the benefits are starting to happen. Communicate how this new impact strategy is helping your organization, either in terms of efficiency, effectiveness or any other element that is relevant to your organization’s culture. Try to adapt the implementation process around the organic inclinations of those involved.
This concludes Actionable Impact Management (AIM) 'Volume One: Groundwork'

This is just the beginning. We look forward to the release of 'Volume Two: Metrics' and hope you do as well. Do you have feedback on Volume One? We'd love to hear it. Go ahead and write us a message to let us know what you think.
WORKSHEETS AND GLOSSARY

The following pages are a compilation of the worksheets from Volume Two: Metrics followed by a Glossary of impact terms and phrases used in this guidebook.
GLOSSARY

IMPACT
The intended and unintended long-term consequences (both positive and negative) of a program. It can be difficult to ascertain the how much of this systemic impact is attributable to one program since several other programs in and out of your organisation can contribute to the same impact in positive and negative ways. An example of an impact would be reduced child mortality rates.

EXAMPLE: In the case of a Safe Water project, an increase in the number of households using treated water would directly impact on fewer cases of people suffering from diarrhea, meaning that there will be a reduced number of lost man-hours. This has a direct impact on poverty reduction. Also, the number of children suffering from diarrhea may reduce, meaning that the cases of child deaths are reduced.

METRIC
A defined system or standard of measurement to track progress of change by your organization. In the impact space there are standard metrics and custom metrics. Standards are written by research and evaluation organizations and generally exist around focus areas or organization type. Custom metrics are created by an organization and are designed around their use case.

BENCHMARK DATA
Data that is used to compare your program to other settings. This could be similar interventions in different places, or to the population at large (e.g. wanting to bring educational attainment of a minority population up to the national average)

BASELINE DATA
Data that is collected at the beginning of a project to establish the current status of a population before an intervention is rolled out. Without a baseline, it’s not possible to know what the impact of the intervention is!
GLOSSARY

THEORY OF CHANGE
A map defines long-term impact a program seeks to deliver the logical relationship between inputs, activities, outputs, outcomes and impact. This is created by working backward from the desired impact to identify necessary preconditions. A good theory of change should be plausible, feasible and testable.

Theory of Change includes Inputs, Activities, Outputs, and Outcomes:

INPUT
What we use in the project to implement it. In any project, inputs would include things like human resource (personnel), financial capital, machinery such as vehicles, and equipment such as whiteboards and computers. Inputs ensure that it is possible to deliver the intended results of a project.
   EXAMPLE: In a Safer Water project, inputs might include filters, project members, time, etc.

ACTIVITY
Actions associated with delivering project goals. In other words, they are what the personnel/employees do in order to achieve the aims of the project.
   EXAMPLE: In a Safer Water project, activities might be workshops to educate families on the importance of using boiled or filtered water.

OUTPUT
the direct results of a project in the short term. An easy way to think about outputs is to quantify the project activities that have a direct link on the project goal.
   EXAMPLE: For example, project outputs in a Safer Water project would be that families in a village are educated on water safety and supplied with filters.

OUTCOME
the intended medium term consequences of a program. Outcomes are the second level of results associated with a project and refers to usually relate to the project goal or aim.
   EXAMPLE: For example, in a safe water project, an outcome would be “the percentage of children suffering from diarrhea.”
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